

**STATE OF CALIFORNIA  
MARKS-ROOS YEARLY FISCAL STATUS  
REPORT  
FOR AUTHORITY ISSUE**

California Debt and Investment Advisory Commission  
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Fiscal Year \_\_\_\_\_

CDIAC # \_\_\_\_\_

California Government Code Section 6599.1 requires that all issuers selling Marks-Roos bonds after January 1, 1996 report specific information to the Commission by October 30<sup>th</sup> of the current year and each year thereafter, until maturity.

**I. GENERAL INFORMATION**

A. Authority Issuer Issuer from cover of Official Statement – auto populates if report is filed online.

B. Name/Title/Series of Bond Issue Like “2014 Series A” – auto populates if report is filed online.

Senior Issue: ☐ Yes ☐ No Subordinate Issue: ☐ Yes ☐ No

C. Project Name Auto populates if report is filed online.

D. Date of Bond Issue Bond sale date – not dated date – auto populates if report is filed online.

E. Original Principal Amount of Bonds \$ Principal from cover of Official Statement – auto populates if report is filed online.

F. Reserve Fund Minimum Balance Required ☐ Yes Amount \$ “Yes” and amount if reserve is held at Authority level. ☐ No

G. Total Issuance Costs \$ \_\_\_\_\_  
(Report Issuance Costs only at initial filing)

**II. FUND BALANCE FISCAL STATUS**

Balances reported as of June 30, Fiscal Year (FY) that you are filing report  
(Year)

A. Principal Amount of Bonds Outstanding \$ Principal outstanding as of June 30 of FY you are reporting for.

B. Total Bond Reserve Fund \$ Reserve balance as of June 30 of FY you are reporting for.

Bond Reserve Cash \_\_\_\_\_ Bond Reserve Surety Bond \_\_\_\_\_

C. Capitalized Interest Fund \$ Fund balance as of June 30 of FY you are reporting for.

**III. AUTHORITY FINANCIAL INFORMATION**

A. Fees Paid for Professional Services (Annual Totals)

1. Type of Service	2. Amount of Fees
	\$
	\$
<span style="border: 1px solid black; padding: 5px;">Service fees paid during the FY that you are reporting for.</span>	
	\$
	\$

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(Continued)

Add sheet, if necessary

**B. Local Obligor**

1. Issuer/Borrower	2. Bond Purchase (BP) or Loan (L)	3. Original Amount of Purchase/ Loan (from Authority Issue)	4. Administration Fee (Charged to LOB) this FY
Name of Local Agency	Bond Purchase or Loan	\$Original amount of purchase/loan	\$Fees charged to local agency
		\$	\$
	<b>If filing online, you must select "add" after each entry.</b>		\$
		\$	\$
		\$	\$

**C. Investment Contracts**

**1. Terms of Investment Contracts**

a. Final Maturity

**Final maturity of Investment Contract.**

b. Other (see Guidelines for explanation)

2. Commission/Fee for Contract

Total \$

**Fees paid during FY that you are filing for.**

3. Interest Earnings on Contract

Current \$

**Earning during FY that you are filing for.**

**D. Are the taxes paid under the County's Teeter Plan?**

☐

Yes

☐

No

**IV. ISSUE RETIRED**

This issue is retired and no longer subject to the Yearly Fiscal Status filing requirements

**Retirement (redemption/maturity) date must be during FY that you are filing for.**

A. Matured

☐

Yes

☐

No

If yes, indicate final m

B. Redeemed Entirely

☐

Yes

☐

No

If yes, state refunding bond title:

and redemption date:

C. Other

and date:

**V. NAME OF PARTY COMPLETING THIS FORM**

NAME

TITLE

FIRM/AGENCY

ADDRESS

CITY/STATE/ZIP CODE

PHONE

DATE OF REPORT

EMAIL

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(Continued)

**VI. COMMENTS:**

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Section 6599.1 of the California Government Code requires that all issuers selling Mark-Roos bonds, which is part of the Mark-Roos Local Bond Pooling Act of 1985, after January 1, 1996 are required to report specific information to the Commission by October 30<sup>th</sup> of the current year and each year thereafter, until maturity.